TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER <u>LU6047</u>

DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 27 CFR 4.5) 5 2 1 5

22 August 2002

INTERNATIONAL APPLICATION NO. INT PCT/EP 03/09125

INTERNATIONAL FILING DATE 18 August 2003 PRIORITY DATE CLAIMED

TITLE OF INVENTION: MONOCYCLOPENTADIENYL COMPLEXES

APPLICANT(S) FOR DO/EO/US

Shahram MIHAN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. /X/ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. // This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. /X/ This express request to begin national examination procedures (35 U.S.C.371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- 4. M A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. /X/ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a./X/ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b./X/ has been transmitted by the International Bureau.
 - c.// is not required, as the application was filed in the United States Receiving Office (RO/US0).
- 6. /X/ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. // Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a./ / are transmitted herewith (required only if not transmitted by the International Bureau).
 - b.// have been transmitted by the International Bureau.
 - c.// have not been made; however, the time limit for making such amendments has NOT expired.
 - d.// have not been made and will not be made.
- 8. // A translation of the amendments to the claims under PCT Article 19(35 U.S.C. 371(c)(3)).
- 9. /X / An oath or declaration of the inventor(s)(35 U.S.C. 171(c)(4)).
- 10./ / A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

- 11.// An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12./ X/ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13./X / A FIRST preliminary amendment.
- // A SECOND or SUBSEQUENT preliminary amendment.
- 14.// A substitute specification.
- 15.// A change of power of attorney and/or address letter.
- 16./x/ Other items or information.
 International Search Report
 International Preliminary Examination Report

Customer Number

26474

U.S. Applin. No. ((LKgowa) INTERNATIONAL APPLN. NO. ATTORNEY'S DOCKET NO. LU6047 PCT/EP 03/09125 17. /X/ The following fees are submitted **CALCULATIONS** PTO USE ONLY NATIONAL STAGE BASIC/SEARCH/EXAMINATION FEE Search Report has been prepared by the 1,000.00 EPO or JPO.....\$1000.00 \$300/\$500/\$200 International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$750.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$790.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1,110.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied pro -visions of PCT Article 33(2)-(4).....\$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$1,000.00 Surcharge of \$130.00 for furnishing the oath or declaration later than / / 20 / /30 months from the earliest claimed priority date (37 CFR 1.492(e)). <u>Claims</u> Number Filed Number Extra Rate **Total Claims** X\$50. -20 Indep.Claims -3 X\$200. 1 Multiple dependent claim(s)(if applicable) + 360. **TOTAL OF ABOVE CALCULATION** = \$1.000.00Reduction of ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). **SUBTOTAL** = \$1,000.00Processing fee of \$130. for furnishing the English translation later than / /20 / /30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE** = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover 40.00 sheet (37 CFR 3.28, 3.31) \$40.00 per property **TOTAL FEES ENCLOSED** 1,040.00 Amount to be refunded: Charged a.// A check in the amount of \$ to cover the above fees is enclosed. b.// Please charge my Deposit Account No.______in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c./X/ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1437. A duplicate copy of this sheet is enclosed. d/x/ Fee is being charged to credit card. Form PTO-2038 is attached. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status. **SEND ALL CORRESPONDENCE TO: SIGNATURE** Novak, Druce, Deluca & Quigg 1300 Eye Street, N.W., Suite 400 East Jason D. Voight Washington, D. C. 20005 NAME 42,205

Registration No.

ŝ

Ser. No. 10 25,215.

4

Lu6047

UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of MIHAN et al. International Appl'n No. PCT/EP03/09125 Filing or 371(c) Date: February 22, 2005

MONOCYCLOPENTADIENYL COMPLEXES For:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on May 19, 2005.

Typed or printed name of person signing this certificate: Sabine

Signature: Serg

Honorable Commissioner for Patents Alexandria, Virginia 22313-1450

CHANGE OF CORRESPONDENCE ADDRESS

Please change the Correspondence Address for the above-identified patent application to the address associated with Customer Number 26474.

Please charge any shortage in fees due in connection with the filing of this paper to Deposit Account No. 14.1437.

Respectfully submitted,

NOYAK DRUCE DeLUÇA & QUIGG LLP

Jason D. Voight Reg. No. 42,205

1300 Eye Street, N.W. Suite 400 East Washington, D.C. 20005 (202) 659-0100